



DOCKET NO.: 4589P011

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

ANDREW G. AUSTIN

Application No.: 09/974,556

Filed: October 09, 2001

For: **APPARATUS TO SECURE AN ACCESS
DOOR ON A HOUSING (As Amended)**

Art Group: 3676

Examiner: Lloyd A. Gall

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JUL 31 2003
GROUP 3600

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL OF FORMAL DRAWINGS

Sir:

Enclosed herewith for filing in the above-identified U.S. Patent Application are the formal drawings, 12 sheets including 16 Figures. Please charge any additional fees or credit any overpayment to Deposit Account No. 02-2666. A duplicate copy of the Fee Transmittal is enclosed for this purpose.

Respectfully submitted,

Blakely, Sokoloff, Taylor & Zafman LLP

Kerry D. Tweet, Reg. No. 45,959

Dated: July 25, 2003

12400 Wilshire Blvd., 7th Floor
Los Angeles, California 90025
Telephone: (503) 684-6200

CERTIFICATE OF MAILING/TRANSMISSION

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Angie C. Farr

07/25/03
Date



3676

TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

16

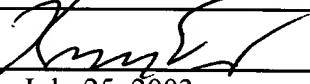
| | |
|------------------------|------------------|
| Application No. | 09/974,556 |
| Filing Date | October 9, 2001 |
| First Named Inventor | Andrew S. Austin |
| Group Art Unit | 3676 |
| Examiner Name | Lloyd J. Gabay |
| Attorney Docket Number | 45897-1 |

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GROUP 3000

ENCLOSURES (check all that apply)

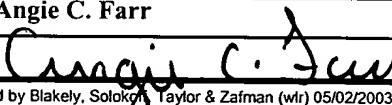
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|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Response <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> PTO/SB/08 <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | <input checked="" type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) | <input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; height: 40px; width: 100%;"></div> |
| Remarks | | |

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

| | | |
|-------------------------|---|--|
| Firm or Individual name | Kerry D. Tweet, Reg. No. 45,959 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP | |
| Signature |  | |
| Date | July 25, 2003 | |

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| | | | |
|-----------------------|---|------|---------------|
| Typed or printed name | Angie C. Farr | | |
| Signature |  | Date | July 25, 2003 |

Based on PTO/SB/21 (05-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wfr) 05/02/2003.
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FEET TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

TOTAL AMOUNT OF PAYMENT

Complete if Known

| | |
|----------------------|------------------|
| Application Number | 09/974,156 |
| Filing Date | October 9, 2001 |
| First Named Inventor | Andrew G. Austin |
| Examiner Name | Lloyd A. Gau |
| Group/Art Unit | 3676 |
| Attorney Docket No. | 458970-1 |

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METHOD OF PAYMENT (check one)

Check Credit card Money Order Other None

Deposit Account

Deposit Account Number

Deposit Account Name

The Commissioner is authorized to: (check all that apply)

Charge fee(s) indicated below Credit any overpayments
 Charge any additional fee(s) required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20
 Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

| Large Entity | | Small Entity | | Fee Paid |
|--------------|----------|--------------|----------|------------------------|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1001 | 750 | 2001 | 375 | Utility filing fee |
| 1002 | 330 | 2002 | 165 | Design filing fee |
| 1003 | 520 | 2003 | 260 | Plant filing fee |
| 1004 | 750 | 2004 | 375 | Reissue filing fee |
| 1005 | 160 | 2005 | 80 | Provisional filing fee |
| SUBTOTAL (1) | | (\$) | | |

2. EXTRA CLAIM FEES

| Total Claims | Independent Claims | Extra Claims | Fee from below | Fee Paid |
|--------------|--------------------|--------------|--|----------|
| | | 23** | = <input style="border: 1px solid black; width: 20px; height: 20px; vertical-align: middle;" type="text"/> X <input style="border: 1px solid black; width: 20px; height: 20px; vertical-align: middle;" type="text"/> = <input style="border: 1px solid black; width: 20px; height: 20px; vertical-align: middle;" type="text"/> | |
| | | 6 | = <input style="border: 1px solid black; width: 20px; height: 20px; vertical-align: middle;" type="text"/> X <input style="border: 1px solid black; width: 20px; height: 20px; vertical-align: middle;" type="text"/> = <input style="border: 1px solid black; width: 20px; height: 20px; vertical-align: middle;" type="text"/> | |
| | | | | |

| Large Entity | | Small Entity | | Fee Description |
|--------------|----------|--------------|----------|---|
| Fee Code | Fee (\$) | Fee Code | Fee (\$) | |
| 1202 | 18 | 2202 | 9 | Claims in excess of 20 |
| 1201 | 84 | 2201 | 42 | Independent claims in excess of 3 |
| 1203 | 280 | 2203 | 140 | Multiple Dependent claim, if not paid |
| 1204 | 84 | 2204 | 42 | **Reissue independent claims over original patent |
| 1205 | 18 | 2205 | 9 | **Reissue claims in excess of 20 and over original patent |
| SUBTOTAL (2) | | (\$) | | |

**or number previously paid, if greater, For Reissues, see below

3. ADDITIONAL FEES

| Large Entity | Small Entity | Fee Description | Fee Paid |
|---------------------|--------------|--|----------|
| Fee Code | Fee (\$) | Fee Code (\$) | |
| 1051 | 130 | 2051 65 Surcharge - late filing fee or oath | |
| 1052 | 50 | 2052 25 Surcharge - late provisional filing fee or cover sheet. | |
| 2053 | 130 | 2053 130 Non-English specification | |
| 1812 | 2,520 | 1812 2,520 For filing a request for ex parte reexamination | |
| 1804 | 920* | 1804 920 * Requesting publication of SIR prior to Examiner action | |
| 1805 | 1,840* | 1805 1,840 * Requesting publication of SIR after Examiner action | |
| 1251 | 110 | 2251 55 Extension for reply within first month | |
| 1252 | 410 | 2252 205 Extension for reply within second month | |
| 1253 | 930 | 2253 465 Extension for reply within third month | |
| 1254 | 1,450 | 2254 725 Extension for reply within fourth month | |
| 1255 | 1,970 | 2255 985 Extension for reply within fifth month | |
| 1404 | 320 | 2401 160 Notice of Appeal | |
| 1402 | 320 | 2402 160 Filing a brief in support of an appeal | |
| 1403 | 280 | 2403 140 Request for oral hearing | |
| 1451 | 1,510 | 2451 1,510 Petition to institute a public use proceeding | |
| 1452 | 110 | 2452 55 Petition to revive - unavoidable | |
| 1453 | 1,300 | 2453 650 Petition to revive - unintentional | |
| 1501 | 1,300 | 2501 650 Utility issue fee (or reissue) | |
| 1502 | 470 | 2502 235 Design issue fee | |
| 1503 | 630 | 2503 315 Plant issue fee | |
| 1460 | 130 | 2460 130 Petitions to the Commissioner | |
| 1807 | 50 | 1807 50 Processing fee under 37 CFR 1.17(q) | |
| 1806 | 180 | 1806 180 Submission of Information Disclosure Stmt | |
| 8021 | 40 | 8021 40 Recording each patent assignment per property (times number of properties) | |
| 1809 | 750 | 1809 375 Filing a submission after final rejection (37 CFR § 1.129(a)) | |
| 1810 | 750 | 2810 375 For each additional invention to be examined (37 CFR § 1.129(b)) | |
| 1801 | 750 | 2801 375 Request for Continued Examination (RCE) | |
| 1802 | 900 | 1802 900 Request for expedited examination of a design application | |
| Other fee (specify) | | | |

Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

SUBMITTED BY

Complete (if applicable)

| | | | | | |
|-------------------|---|-----------------------------------|---------------|-----------|-----------------------|
| Name (Print/Type) | Kerry D. Tweet | Registration No. (Attorney/Agent) | 45,959 | Telephone | (503) 684-6200 |
| Signature |  | | | Date | 07/25/03 |

Based on PTO/SB/17 (01-03) as modified by Blakely, Sokoloff, Taylor & Zafman (wir) 05/02/2003.
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